

Appendix A

Register of Pension Fund Policy Documents

POLICY	Regulation	Responsibility under Constitution	Importance	How often it should be reviewed	Most recent PAB review date	Next date for PAB to review
Actuarial valuation	LGPS Regulations 2013 Regulation 62	N/A	Statutory required	Every 3 years	May 2023	After 31 March 2026
Administering Authority Discretions	LGPS Regulations 2013 Regulation 60	Pensions Committee	Statutory required	Every 4 years	Nov 2023	Nov 2025
Administration Strategy	LGPS Regulations 2013 Regulation 59	Director of Finance and Support Services jointly with the Director of Law and Assurance	Highly recommended	Every 2 years	Apr 2021	Jul 2024
Annual Report	LGPS Regulations 2013 Regulation 57	Pensions Committee ¹	Statutory required	Annually	July 2023	Q2 2024/25
Breaches policy	Pensions Act 2004 Regulation 70	Director of Finance and Support Services jointly with the Director of Law and Assurance	Highly recommended	Every 2 years	Nov 2021	Feb 2024
Business Plan	N/A	Pensions Committee	Highly recommended	Every year	Each meeting	Each meeting

¹ To consider

POLICY	Regulation	Responsibility under Constitution	Importance	How often it should be reviewed	Most recent PAB review date	Next date for PAB to review
Communications Policy Strategy	LGPS Regulations 2013 Regulation 61	Director of Finance and Support Services jointly with the Director of Law and Assurance	Statutory required	Annually	Nov 2022	Jul 2024
Conflict of Interest	Public Service Pension Act 2013 Regulation 5(4) and 5(5)		Highly recommended	Every 3 years	Nov 2021 (Draft)	Q3 2024/25
Funding Strategy Statement	LGPS Regulations 2013 Regulation 58	Pensions Committee	Statutory required	Every 3 years	Sept 2022	Q2 2025/26
Governance Policy and Compliance Statement	LGPS Regulations 2013 Regulation 55	Pensions Committee	Statutory required	Every 2 years	May 2022	Q1 2024/25
IDRP (Internal Dispute Resolution Procedure)	The Pension Regulator & Occupational Pension Schemes (Internal Dispute Resolution Procedures Consequential and Miscellaneous Amendments) Regulations 2008	Director of Finance and Support Services jointly with the Director of Law and Assurance	Highly recommended	Every 3 years		Feb 2024

POLICY	Regulation	Responsibility under Constitution	Importance	How often it should be reviewed	Most recent PAB review date	Next date for PAB to review
Investment Strategy Statement	LGPS Regulations (Management and Investment of Funds) 2016	Pensions Committee	Statutory required	Every 3 years	May 2023	TBD
Privacy Notice	Data Protection Act 2018 and General Data Protection Regulation (EU) 2016/679	Director of Finance and Support Services jointly with the Director of Law and Assurance	Statutory required	Every 3 years	Apr 2021	Q1 2024/25
Treasury Management Strategy Statement	Treasury Management Code of Practice	Pensions Committee	Required	Annually	Feb 2023	Q4 2023/24